

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
1.	2011-111	Human Resources	NO	3	•		5/13/11	
2.	2011-110	Human Resources	YES	4	•		5/12/11	
3.	2011-109	Other-Member Inquiry-Retiree Benefits	NO	6	•		5/10/11	
4.	2011-108	Fraud	NO	7	•		5/9/11	
5.	2011-107	Other-Member Inquiry-Retiree Benefits	NO	10	•		5/6/11	
6.	2011-106	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	17	•		4/29/11	
7.	2011-105	Conflict of Interest	YES	33	•		4/4/11	
8.	2011-104	Human Resources	YES	14	•		5/2/11	
9.	2011-103	Improper Supplier or Contractor Activity	NO	14		•	5/2/11	
10.	2011-102	Retirement Benefits Fraud or Abuse	YES	16	•		4/30/11	
11.	2011-101	Accounting & Auditing Matters	NO	6		•	4/30/11	5/5/11
12.	2011-100	Human Resources	NO	17	•		4/29/11	
13.	2011-099	Fraud	YES	17		•	4/26/11	5/12/11
14.	2011-098	Badging or Access Control	YES	20	•		4/22/11	
15.	2011-097	Badging or Access Control	YES	21	•		4/22/11	
16.	2011-096	Human Resources	NO	25		•	4/21/11	5/13/11
17.	2011-095	Human Resources	YES	26	•		4/20/11	
18.	2011-094	Retaliation	YES	32		•	4/14/11	5/12/11
19.	2011-093	Human Resources	YES	16		•	4/6/11	4/21/11

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
20.	2011-092	Human Resources	NO	4		•	4/4/11	4/7/11
21.	2011-091	Human Resources	YES	42	•		4/1/11	
22.	2011-090	Human Resources	YES	20		•	4/1/11	4/20/11
23.	2011-089	Human Resources	YES	21		•	4/1/11	4/21/11
24.	2011-088	Human Resources	NO	21		•	3/30/11	4/19/11
25.	2011-087	Human Resources	NO	15		•	3/25/11	4/8/11
26.	2011-086	Fraud	NO	27		•	3/11/11	4/6/11
27.	2011-085	Retirement Benefits Fraud or Abuse	YES	8		•	3/18/11	3/25/11
28.	2011-084	Human Resources	NO	4		•	3/14/11	3/17/11
29.	2011-083	Fraud	NO	66	•		3/11/11	
30.	2011-082	Safety, Health and the Environment	YES	69	•		3/8/11	
31.	2011-081	Human Resources	YES	2		•	3/8/11	3/9/11
32.	2011-080	Human Resources	NO	11		•	3/7/11	3/17/11
33.	2011-079	Data Privacy	NO	11		•	3/5/11	4/5/11
34.	2011-078	Fraud	NO	7		•	3/4/11	3/10/11
35.	2011-077	Data Privacy	NO	8		•	3/4/11	3/11/11
36.	2011-076	Compliance with Laws and Regulations	NO	16		•	2/28/11	4/5/11
37.	2011-075	Human Resources	NO	22		•	2/23/11	3/16/11
38.	2011-074	Human Resources	YES	15		•	2/23/11	3/9/11
39.	2011-073	Accounting and Auditing Matters	NO	82	•		2/23/11	

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
40.	2011-072	Retirement Benefits Fraud or Abuse	YES	83	•		2/22/11	
41.	2011-071	Human Resources	NO	8		•	2/16/11	2/23/11
42.	2011-070	Compliance with Laws and Regulations	NO	20		•	2/16/11	3/7/11
43.	2011-069	Human Resources	YES	17		•	2/16/11	3/4/11
44.	2011-068	Human Resources	YES	11		•	2/15/11	2/25/11
45.	2011-067	Other-Solicitation-Request for Compliance Training Materials	NO	15		•	2/15/11	3/01/11
46.	2011-066	Fraud	NO	29		•	2/15/11	3/17/11
47.	2011-065	EEOC or ADA Matters	NO	24		•	2/14/11	3/9/11
48.	2011-064	Other-Member Inquiry-Ethics Helpline-Purpose & Cost	NO	36		•	2/8/11	3/22/11
49.	2011-063	Human Resources	YES	18		•	2/8/11	2/25/11
50.	2011-062	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
51.	2011-061	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
52.	2011-060	Computer, E-mail, and Internet Use	YES	41		•	2/3/11	4/7/11
53.	2011-059	Compliance with Laws and Regulations	YES	23		•	2/3/11	2/25/11
54.	2011-058	Human Resources	NO	34		•	2/2/11	3/7/11
55.	2011-057	Fraud	YES	7		•	2/2/11	2/8/11

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
56.	2011-056	Outside Employment and Compensation (including ownership in other business)	NO	15		•	2/1/11	2/15/11
57.	2011-055	Compliance with Laws and Regulations	NO	15		•	1/31/11	2/14/11
58.	2011-054	Other-Member Inquiry-Tax Adjustment	NO	9		•	1/31/11	2/8/11
59.	2011-053	Other-Solicitation-Outside Vendor Services	YES	9		•	1/30/11	2/7/11
60.	2011-052	Other-Member Inquiry-Address Change	NO	11		•	1/28/11	2/7/11
61.	2011-051	Human Resources	YES	11		•	1/28/11	2/7/11
62.	2011-050	Other-Workers' Compensation Claim Inquiry	NO	5		•	1/24/11	1/28/11
63.	2011-049	Override of Internal Controls	YES	5		•	1/6/11	1/10/11
64.	2011-048	Compliance with Laws and Regulations	YES	24		•	1/5/11	1/28/11
65.	2010-047	Conflict of Interest	YES	21		•	12/24/10	1/13/11
66.	2010-046	Fraud	NO	28		•	12/24/10	1/20/11
67.	2010-045	Human Resources	YES	16		•	12/22/10	1/6/11
68.	2010-044	Discrimination or Harassment	NO	14		•	12/21/10	1/3/11
69.	2010-043	Disability Fraud	YES	4		•	12/20/10	12/23/10
70.	2010-042	Safety, Health, and the Environment	YES	18		•	12/20/10	1/6/11

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
71.	2010-041	Compliance with Laws and Regulations	NO	6		•	12/15/10	12/20/10
72.	2010-040	Retirement Benefits Fraud or Abuse	YES	61		•	12/12/10	2/10/11
73.	2010-039	Compliance with Laws and Regulations	NO	44		•	12/8/10	1/20/11
74.	2010-038	Discrimination or Harassment	YES	3		•	12/7/10	12/9/10
75.	2010-037	Human Resources	YES	37		•	12/2/10	1/6/11
76.	2010-036	Sexual Harassment	YES	9		•	12/1/10	12/9/10
77.	2010-035	Fraud	YES	9		•	11/30/10	12/8/10
78.	2010-034	Conflict of Interest	YES	15		•	11/29/10	12/13/10
79.	2010-033	Sexual Harassment	YES	33		•	11/29/10	12/31/10
80.	2010-032	Disability Fraud	NO	15		•	11/24/10	12/8/10
81.	2010-031	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	47		•	11/22/10	1/7/11
82.	2010-030	Conflict of Interest	YES	11		•	11/19/10	11/29/10
83.	2010-029	Information Security and Records	NO	30		•	11/16/10	12/15/10
84.	2010-028	Retirement Benefits Fraud or Abuse	YES	12		•	11/8/10	11/19/10
85.	2010-027	Legal Issues	YES	55		•	11/4/10	12/28/11
86.	2010-026	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	134		•	11/2/10	4/8/11
87.	2010-025	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	40		•	10/30/10	12/8/10

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
88.	2010-024	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	43		•	10/27/10	12/8/10
89.	2010-022	Accounting and Auditing Matters	YES	74		•	10/26/10	1/7/11
90.	2010-021	Human Resources	NO	9		•	10/20/10	10/28/10
91.	2010-020	Legal Issues	NO	4		•	10/18/10	10/21/10
92.	2010-019	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	13		•	10/17/10	10/29/10
93.	2010-018	Abuse of Authority	YES	62		•	10/13/10	12/13/10
94.	2010-017	Fraud-theft of Property Public Agency	NO	7		•	10/8/10	10/14/10
95.	2010-016	Retirement Benefits Fraud or Abuse-Unreported Death	NO	9		•	10/7/10	10/15/10
96.	2010-015	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	107		•	10/5/10	1/19/11
97.	2010-014	Business Relationships with Clients/Vendors	YES	49		•	10/5/10	11/22/10
98.	2010-013	Data Privacy	NO	19		•	9/27/10	10/15/10
99.	2010-012	Improper Supplier or Contractor Activity	YES	110		•	9/27/10	1/14/11
100.	2010-011	Retirement Benefits Fraud or Abuse- Pension Spiking	YES	118		•	9/24/10	1/19/11
101.	2010-010	Retirement Benefits Fraud or Abuse-Members Fire Department	YES	98		•	9/23/10	12/29/10

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 5/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 5/15/11	Pending	Closed	Intake Date	Closed Date
102.	2010-009	Legal Issues - Misuse of State Property	YES	24		•	9/17/10	10/5/10
103.	2010-008	Retirement Benefits Fraud or Abuse - Legal Public Agency	NO	29		•	9/15/10	10/13/10
104.	2010-007	Abuse of Authority	YES	92		•	9/13/10	12/13/10

Pending reports have been distributed to the CalPERS divisions.

Closed reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.